



# QRDA Category I Conformance Statement Resource – CY 2017 eCQM Reporting

Updated  
November 2017

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# Release Notes

- **November 2016** – Initial posting of this resource for the CY 2016 reporting period
- **February 2017** – Updated posting of this resource
- **November 2017** – Updated this resource for use during the CY 2017 reporting period

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# QRDA Category I Conformance Statement Resource Overview

As of Calendar Year 2017, Quality Reporting Document Architecture (QRDA) Category I files are submitted by Eligible Hospitals (EHs) to fulfill a portion of the mandatory electronic Clinical Quality Measure (eCQM) reporting requirements for the Centers for Medicare & Medicaid Services (CMS) Hospital Inpatient Quality Reporting (IQR) and Medicare Electronic Health Record (EHR) Incentive Programs.

When test or production files are submitted for processing to the CMS data receiving system within the *QualityNet Secure Portal*, errors are identified with a conformance statement, or system requirement. These statements are referred to with a CONF number. CONF numbers are generated to tell the data submitter why the file was rejected and unable to be processed.

There are two types of conformance statements with different formats for the CONF number: the CMS CONF numbers and Health Level Seven (HL7) Clinical Document Architecture (CDA) QRDA Category I Errors. The Conformance Statement Resource was developed to assist data submitters to resolve file upload errors by providing additional explanations and reference material to support successful submission.

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# Two Types of QRDA Category I Conformance Statements

## CMS CONF Number

- CMS CONF number format: CONF:CMS\_xxxx  
Example: CONF: CMS\_0010
- Reference material: The [2017 CMS QRDA I Implementation Guide](#).

## HL7 CDA QRDA Errors

- HL7 CDA QRDA errors format: Has no CMS prefix  
Example: CONF:1198-6394
- Reference material: Visit the HL7 website to obtain the [HL7 CDA® R2 IG: Quality Reporting Document Architecture Category I \(QRDA I\) DSTU Release 3.1 \(US Realm\)](#).

**NOTE:** The HL7 website may require a HL7 account to be created in order to download the Implementation Guide (IG).

# How to Use this QRDA Category I Conformance Statement Resource

The next page provides a table of commonly occurring CONF statements associated with the submittal of QRDA Category I files for CY 2017 eCQM reporting. To obtain details on specific conformance or error messages:

1. Select the CONF number (highlighted as a blue link) to view the error description, the meaning of the error message, and details on how to resolve the error.
2. Choose **Select a new CONF Number** to return to the CONF Number table.
3. When done, select **End** at the bottom right hand corner of the page to review a list of resources. Exit the tool by clicking the **X** in the top right hand corner of the tool.

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# Select a CONF Number From the Table Below

## **Conformance Statements Added During the November 2017 Update**

- [CONF: CMS\\_0006](#) – Data Validation: Missing or Multiple CMS EHR IDs
- [CONF: CMS\\_0008](#) – Data Validation: Improper Extension for CMS EHR ID
- [CONF: CMS\\_0026](#) – Improper Extension for intendedRecipient ID
- [CONF: CMS\\_0061](#) – Encounter Performed Discharge Date Error
- [CONF: CMS\\_0067](#) – Submitter Not Authorized to Submit
- [CONF: CMS\\_0071](#) – Data Validation: Not Well-Formed QRDA XML
- [CONF: CMS\\_0115](#) – Data Validation: NPI Should Have 10 Digits
- [CONF: CMS\\_0117](#) – Data Validation: NPI Should Have Correct Checksum
- [CONF: CMS\\_0121](#) – Inconsistent Use of UTC Offset
- [CONF: 67-13372](#) – Missing or Multiple participantRole Elements
- [CONF: 1098-31880](#) – Encounter Order Status Code
- [CONF: 1198-5524](#) – Missing Custodian Organization Name
- [CONF: 2228-14431](#) – Missing Patient Characteristic Payer
- [CONF: 2228-27343](#) – Intervention Order Author Participation
- [CONF: 2228-28472](#) – Encounter Order Act Missing ID
- [CONF: 2228-28480](#) – Encounter Performed Act Missing ID

## **Conformance Statements Previously in this Document**

- [CONF: CMS\\_0035](#) – CCN length
- [CONF: CMS\\_0060](#) – Encounter Performed Discharge Date Null
- [CONF: CMS\\_0062](#) – Encounter Performed Admission Date
- [CONF: CMS\\_0063](#) – Encounter Performed Discharge Date
- [CONF: CMS\\_0066](#) – CCN cannot be validated
- [CONF: CMS\\_0068](#) – Dummy CCN
- [CONF: CMS\\_0072](#) – QRDA Document Format Error
- [CONF: CMS\\_0073](#) – QRDA Document Format Error
- [CONF: CMS\\_0074](#) – Version Specific Measure Identifier
- [CONF: CMS\\_0075](#) – Admission Date [Effective Time / low value]
- [CONF: CMS\\_0076](#) – Discharge Date [Effective Time / high value]
- [CONF: CMS\\_0079](#) – Reporting Period Effective Date Range
- [CONF: 67-12978](#) – Measure Section QDM Entry
- [CONF: 67-13193](#) – eMeasure Reference QDM
- [CONF: 81-7291](#) – Patient Contact Information City
- [CONF: 81-7292](#) – Patient Contact Information Street Address Line
- [CONF: 81-9371](#) – Conformant Patient Name
- [CONF: 81-9372](#) – Cannot Contain Name Parts
- [CONF: 81-10024](#) – Patient Contact Information State
- [CONF: 81-10025](#) – Patient Contact Information Postal Code
- [CONF: 1198-5271](#) – Patient Contact Information US Realm Address
- [CONF: 1198-5280](#) – Patient Contact Information Telecom
- [CONF: 1198-6394](#) – Administrative Gender Code
- [CONF: 1198-14838](#) – Service Event – Low Effective Time
- [CONF: 2228-27571 & CONF 1198-5300](#) –  
Contain Birth Time – Precise to the Day
- [CONF: 2228-27745](#) – Medication Order Requires Authors

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# CMS Certification Number (CCN)

## CONF: CMS\_0035 & CMS\_0066

CONF #	Validation Performed	Meaning	Solution
CMS_0035	CCN <b>SHALL</b> be six to ten characters in length	CCNs submitted that have five or fewer characters or 11 or more characters will not pass validation.	Review CCN included in QRDA file to ensure accuracy.
CMS_0066	CCN (NULL) cannot be validated	CCN passes Schematron format check but the value does not appear in HQR lookup of valid CCNs. This message will appear if CCN is Null.	Review QRDA File to ensure: <ul style="list-style-type: none"><li>• CCN is included and is accurate</li><li>• Verify where the @root=2.16.840.1.113883.4.336 is present, that the CCN extension is also present</li></ul>



# CONF: CMS\_0060

## Encounter Performed Discharge Date Null (1 of 2)

**ERROR:** The system SHALL reject QRDA I files if the encounter performed discharge date is Null.

Example: NULL discharge date

```
<effectiveTime>  
  <!-- Attribute: Admission datetime -->  
  <low value="20170329090000"/>  
  <!-- Attribute: Discharge datetime with an empty value -->  
  <high value=""/>  
</effectiveTime>
```

# CONF: CMS\_0060

## Encounter Performed Discharge Date Null (2 of 2)

Example of correct discharge date time:

```
<entry typeCode="DRIV">
  <!--"Encounter Performed: Inpatient Encounter" using "Encounter Inpatient
    SNOMEDCT Value Set (2.16.840.1.113883.3.666.5.307) -->
  <encounter classCode="ENC" moodCode="EVN">
    <templateId root="2.16.840.1.113883.10.20.22.4.49" extension="2015-08-01" />
    <templateId root="2.16.840.1.113883.10.20.24.3.23" extension="2016-02-01" />
    <id root="dccf424e-18dd-4058-887f-a81514eaaa55" />
    <code code="32485007" displayName="Hospital admission (procedure)"
      codeSystem="2.16.840.1.113883.6.96"
      codeSystemName="SNOMEDCT" sdtc:valueSet="2.16.840.1.113883.3.666.5.307"/>
    <text>Encounter, Performed: Inpatient Encounter</text>
    <statusCode code="completed" />
    <effectiveTime>
      <!-- Attribute: admission datetime (or encounter start) -->
      <low value="20170129090000"/>
      <!-- Attribute: discharge datetime (or encounter end) -->
      <high value="20170131100000"/>
    </effectiveTime>
  </encounter>
</entry>
```

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CONF number](#)

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# CONF: CMS\_0062

## Encounter Performed Admission Date (1 of 2)

**ERROR:** The system SHALL reject QRDA I files if the Encounter Performed Admission Date (effectiveTime/low value) is after the Encounter Performed Discharge Date (effectiveTime/high value).

### Meaning:

Possible conditions resulting in this error are:

- Encounter Performed Admission Date (effectiveTime/low value) is after the Encounter Performed Discharge Date (effectiveTime/high value)
- Admission Date or Discharge Date values are null or have an invalid format

# CONF: CMS\_0062

## Encounter Performed Admission Date (2 of 2)

### ERROR:

Admission Date is after the Discharge Date

```
<low value="20170131090000"/>
```

```
<high value="20170129103000"/>
```

```
<entry typeCode="DRIV">
  <!-- Encounter Performed: Inpatient Encounter using "Encounter Inpatient
    SNOMEDCT Value Set (2.16.840.1.113883.3.666.5.307) -->
  <encounter classCode="ENC" moodCode="EVN">
    <templateId root="2.16.840.1.113883.10.20.22.4.49" extension="2015-08-01" />
    <templateId root="2.16.840.1.113883.10.20.24.3.23" extension="2016-02-01" />
    <id root="1024977d-e6f6-4f50-9897-a6e1c90ba14c" />
    <code code="32485007" displayName="Hospital admission (procedure)"
      codeSystem="2.16.840.1.113883.6.96"
      codeSystemName="SNOMEDCT" sdtc:valueSet="2.16.840.1.113883.3.666.5.307"/>
    <text>Encounter, Performed: Inpatient Encounter</text>
    <statusCode code="completed" />
    <effectiveTime>
      <!-- Attribute: admission datetime (or encounter start) -->
      <low value="20170129103000"/>
      <!-- Attribute: discharge datetime (or encounter end) -->
      <high value="20170131090000"/>
    </effectiveTime>
  </encounter>
</entry>
```

How to fix (example):

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CONF number](#)

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# CONF: CMS\_0063

## Encounter Performed Discharge Dates (1 of 2)

**ERROR:** The system SHALL reject QRDA I files if at least one of the Encounter Performed Discharge Dates is not within the reporting period found in the QRDA.

# CONF: CMS\_0063

## Encounter Performed Discharge Dates (2 of 2)

### Meaning:

There must be at least one encounter in the QRDA that is within the reporting period.

If there are other encounters reported that are outside the reporting period, the file will not be rejected as long as there is at least one encounter with the discharge date within the reporting period, as specified in the Reporting Parameters Section of the QRDA.

```
<entry typeCode="DRIV">
  <act classCode="ACT" moodCode="EVN">
    <!-- This is the templateId for Reporting Parameters Act -->
    <templateId root="2.16.840.1.113883.10.20.17.3.8"/>
    <!-- This is the templateId for Reporting Parameters Act - CMS-->
    <templateId root="2.16.840.1.113883.10.20.17.3.8.1"
extension="2016-03-01"/>
    <id root="3d7c11cf-b01b-4527-a704-c098c162779d"/>
    <code code="252116004" codeSystem="2.16.840.1.113883.6.96"
displayName="Observation Parameters"/>
    <effectiveTime>
      <low value="20170401"/>
      <high value="20170630"/>
    </effectiveTime>
  </act>
</entry>
```

The relevant elements are in the rectangle above, effectiveTime/low and effectiveTime/high. This example is for CY2017Q2 of the reporting period, as shown in the table below.

CY 2017 Discharge Reporting Period		
Quarter	Discharge Start	Discharge End
CY2017Q1	1/1/2017	3/31/2017
CY2017Q2	4/1/2017	6/30/2017
CY2017Q3	7/1/2017	9/30/2017
CY2017Q4	10/1/2017	12/31/2017

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# CONF: CMS\_0068

## Dummy CCN

**ERROR:** Provider is not allowed to use a dummy CCN number (e.g., 800890) for submissions.

### **Meaning:**

The dummy CMS Certification Number (CCN) (shown below) can be used only by vendors and only for Test Data submissions.

<id root="2.16.840.1.113883.4.336" extension="800890"/>

# CONF: CMS\_0072

## QRDA Document Format Error

**ERROR:** The document does not conform to QRDA document formats accepted by CMS.

### Meaning:

QRDA structure of the submitted file does not conform to the QRDA XML Schema (CDA\_SDTC.XSD) provided by HL7. The file does not pass the schema check. Validation continues on the file to identify any other errors.

Note: The CDA\_SDTC.XSD XML schema is available in the HL7 QRDA Category I standard zip file [HL7 CDA® R2 IG: Quality Reporting Document Architecture Category I \(QRDA I\) DSTU Release 3.1 \(US Realm\)](#).



# CONF: CMS\_0073

## QRDA Document Format Error

**ERROR:** The document does not conform to QRDA document formats accepted by CMS.

### Meaning:

The QRDA must have **all four** required header template IDs and extensions for a QRDA Category I, Draft Standard for Trial Use (DSTU), Release 3.1 format file being sent to CMS:

```
<!-- US Realm Header (V3) -->  
<templated root="2.16.840.1.113883.10.20.22.1.1" extension="2015-08-01"/>  
<!-- QRDA Category I Framework (V3) -->  
<templated root="2.16.840.1.113883.10.20.24.1.1" extension="2016-02-01"/>  
<!-- QDM-Based QRDA (V3) -->  
<templated root="2.16.840.1.113883.10.20.24.1.2" extension="2016-02-01"/>  
<!-- QRDA Category I Report - CMS HQR (V3) -->  
<templated root="2.16.840.1.113883.10.20.24.1.3" extension="2016-03-01"/>
```

This error is also produced for an empty file or any non-XML file type (e.g., PDF). Processing stops immediately on these files.

# CONF: CMS\_0074

## Version Specific Measure Identifier (1 of 3)

**ERROR:** The Version Specific Measure Identifier is not valid for the current program year.

### **Meaning:**

Each measure in the QRDA must reference the version specific identifier. Only the April 2016 version of the electronic specifications for the EH eCQMs will be accepted for the CY 2017 reporting year.

# CONF: CMS\_0074

## Version Specific Measure Identifier (2 of 3)

To locate the Version Specific Measure Identifier in the eCQM XML file:

- Use the **QualityMeasureDocument/id/@root** xpath for eCQM Version Specific Measure Identifier
- Submit ONLY the Version Specific Measure Identifier
- Remember that the Version Specific Measure Identifier is not case sensitive

# CONF: CMS\_0074

## Version Specific Measure Identifier CMS108v5 (3 of 3)

Health Quality Measures  
Format (HQMF) XML for  
CMS108v5

Version Specific  
Measure Identifier

Measure Version that  
Corresponds to File  
Name

```
<!--  
*****  
Measure Details Section  
*****  
-->  
<typeId extension="POQM_HD000001UV02" root="2.16.840.1.113883.1.3"/>  
<templateId  
  <item extension="2015-12-01" root="2.16.840.1.113883.10.20.28.1.1"/>  
</templateId>  
<id root="40280381-51f0-825b-0152-22be0c17187e"/>  
<code code="57024-2" codeSystem="2.16.840.1.113883.6.1">  
  <displayName value="Health Quality Measure Document"/>  
</code>  
<title value="Venous Thromboembolism Prophylaxis"/>  
<text value="This measure assesses the number of patients who  
received VTE prophylaxis or have documentation why no VTE  
prophylaxis was given the day of or the day after hospital  
admission or surgery end date for surgeries that start the  
day of or the day after hospital admission"/>  
<statusCode code="COMPLETED"/>  
<setId root="38b0b5ec-0f63-466f-8fe3-2cd20ddd1622"/>  
<versionNumber value="5.0.000"/>
```

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# Admission/Discharge Dates [effectiveTime]

## CONF: CMS\_0075 & CMS\_0076 (1 of 2)

CONF #	Validation Performed	Meaning	Solution
0075	Admission Date is not properly formatted.	Fails validation check for Encounter Performed Admission Date (effectiveTime / low value)	Confirm proper format of admission date.
0076	Discharge Date is not properly formatted.	Fails validation check for Encounter Performed Admission Date (effectiveTime / high value)	Confirm proper format of discharge date.

# Admission/Discharge Dates [effectiveTime]

## CONF: CMS\_0075 & CMS\_0076 (2 of 2)

Proper format for dates: YYYYMMDDHHMMSSxUUUU		
YYYY	Year	Range 1900 to 9999
MM	Month	Range 01 to 12
DD	Day	Range 01 to 31 (note: true to month and leap years)
HH	Hour	Range 0 to 23
SS	Seconds	Range 0 to 59
X	Plus or minus sign	
UUUU	UTC Time Shift	-1300 thru +1400

**NOTE:** Use of the UTC Time Shift is optional, however if it is used, it must be present throughout the whole QRDA Category I file for the file to be accepted.

Information above is from Table 8 – Valid Date/Time Format for HQR (pages 15–17 of 2016 QRDA IG Appendix)

# **CONF: CMS\_0079**

## **Reporting Period Effective Date Range**

### **(1 of 3)**

**ERROR:** Reporting Period Effective Date Range does not match one of the Program's CY Discharge Quarters.

### **Meaning:**

The Reporting Parameter Effective Date Range must align with one of the program's allowable CY discharge quarters.

# CONF: CMS\_0079

## Reporting Period Effective Date Range (2 of 3)

```
<entry typeCode="DRIV">
  <act classCode="ACT" moodCode="EVN">
    <!-- This is the templateId for Reporting Parameters Act -->
    <templateId root="2.16.840.1.113883.10.20.17.3.8" />
    <!-- Reporting Parameters Act CMS -->
    <templateId root="2.16.840.1.113883.10.20.17.3.8.1"
      extension="2016-03-01" />
    <id root="3d7c11cf-b01b-4527-a704-c098c162779d" />
    <code code="252116004" codeSystem="2.16.840.1.113883.6.96"
      displayName="Observation Parameters" />
    <effectiveTime>
      <low value="20170401"/>
      <high value="20170630"/>
    </effectiveTime>
  </act>
</entry>
```



# CONF: CMS\_0079

## Reporting Period Effective Date Range (3 of 3)

**For CY 2017 IQR Program reporting, a hospital will be required to:**

- Report **at least** four of the 15 eCQMs available
- Report for one self-selected quarter (Q1, Q2, Q3 or Q4) of CY 2017
- Submission deadline: February 28, 2018

EHR Incentive Program (Meaningful Use) Submission and Hospital IQR Program Submission via EHR for Production and Test Data Files

Quarter	CY Discharge Quarters		Production and Test Data Submissions	
	Discharge Start	Discharge End	Start	End
CY2017Q1	1/1/2017	3/31/2017	5/1/2017	2/28/2018
CY2017Q2	4/1/2017	6/30/2017	5/1/2017	2/28/2018
CY2017Q3	7/1/2017	9/30/2017	5/1/2017	2/28/2018
CY2017Q4	10/1/2017	12/31/2017	5/1/2017	2/28/2018

# eMeasure Reference QDM

## CONF: 67-12978 & 67-13193

The following conformance statements are associated with Measure Section Quality Data Model (QDM).

CONF #	Validation Performed	Meaning	Solution
67-12978	<b>SHALL</b> contain at least one [1..*] <b>entry</b>	At least one entry is required	Confirm entry is present in file
67-13193	Such entries <b>SHALL</b> contain exactly one [1..1] <b>eMeasure Reference QDM</b> (identifier: urn:oid:2.16.840.1.113883.10.20.24.3.97)	Such entries (referenced in 67-12978) must contain one of the eMeasure Reference QDM (which defines how a QDM eMeasure should be referenced in QDM-Based QRDA)	Confirm entry is from Object Identifier (OID): 2.16.840.1.113883.10.20.24.3.97

# Patient Contact Information

## (1 of 2)

CONF #	Validation Performed	Meaning	Solution
1198-5271	This patientRole <b>SHALL</b> contain at least one [1..*] <b>US Realm Address</b> (identifier: urn:oid:2.16.840.1.113883. 10.20.22.5.2)	No 'address' tag included in file	'Address' must be included in file to pass validation
81-7291	<b>SHALL</b> contain exactly one [1..1] <b>city</b>	No 'city' tag included in file	'City' must be included in file to pass validation
81-7292	<b>SHALL</b> contain exactly one [1..1] <b>streetAddressLine</b>	No 'streetAddressLine' tag included in file	'StreetAddressLine' must be included in file to pass validation
1198-5280	This patientRole <b>SHALL</b> contain at least one [1..*] <b>telecom</b>	No 'telecom' tag included in file	'Telecom' must be included in file to pass validation

# Patient Contact Information

## (2 of 2)

CONF #	Validation Performed	Meaning	Solution
81-10024	<p><b>SHOULD</b> contain zero or one [0..1] <b>state</b> (ValueSet: <b>StateValueSet</b> urn:oid:2.16.840.1.113883.3.88.12.80.1 <b>DYNAMIC</b>)</p> <p><i>State is required if the country is US. If country is not specified, it's assumed to be US</i></p>	No 'state' tag included in file	'State' must be included in file to pass validation
81-10025	<p><b>SHOULD</b> contain zero or one [0..1] <b>postalCode</b>, which <b>SHOULD</b> be selected from ValueSet <b>PostalCode</b> urn:oid:2.16.840.1.113883.3.88.12.80.2 <b>DYNAMIC</b></p> <p><i>PostalCode is required if the country is US. If country is not specified, it's assumed to be US</i></p>	No 'postal code' tag included in file	'PostalCode' must be included in file to pass validation

Please refer to the [2017 CMS QRDA Implementation Guide](#) and the [HL7 CDA® R2 Implementation Guide: Quality Reporting Document Architecture - Category I \(QRDA I\) DSTU Release 3.1 \(US Realm\)](#) for additional information on patient contact information. These materials can assist you to determine which components of the patient's contact information are required (identified in the conformance statements with the keyword 'SHALL').

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# CONF: 81-9371

## Conformant Person Name (1 of 2)

**ERROR:** The content of name SHALL be either a conformant Patient Name (PTN.US.FIELDED) or a string.

### Meaning:

The name data element must be provided either as a string or conformant to the structure defined by the Patient Name (PTN.US.FIELDED). For data elements that are defined using US Realm Person Name (PN.US.FIELDED) (identifier: urn:oid:2.16.840.1.113883.10.20.22.5.1.1) data type such as

ClinicalDocument/patient/name

ClinicalDocument/legalAuthenticator/assignedEntity/assigned Person/name

# CONF: 81-9371

## Conformant Person Name (2 of 2)

### Error:

```
<name>  
  <family>Doe</family>  
</name>
```

This xml snippet contains partial name structure as defined by the US Realm Person Name (PN.US.FIELDDED) Data type.

### How to fix:

#### Option 1 (preferred)

```
<name>  
  <family>Doe</family>  
  <given>John</given>  
</name>
```

Fix the error by providing the missing given element to provide a minimally required structure of name as defined by the US Realm Person Name (PN.US.FIELDDED) Data type.

#### Option 2

```
<name>John Doe</name>
```

Fix the error by providing a string instead of a structured name.

# CONF: 81-9372

## Cannot Contain Name Parts

### (1 of 2)

**ERROR:** The string SHALL NOT contain name parts.

### **Meaning:**

If a structured name is provided, the name data element must conform to the structure defined by the Patient Name (PTN.US.FIELDDED) for data elements that are defined using US Realm Person Name (PN.US.FIELDDED) (identifier: urn:oid:2.16.840.1.113883.10.20.22.5.1.1) data type such as

ClinicalDocument/patient/name

ClinicalDocument/legalAuthenticator/assignedEntity/assigned  
Person/name

# CONF: 81-9372

## Cannot Contain Name Parts

### (2 of 2)

### Error:

```
<name>
  <family>Doe</family>
</name>
```

This xml snippet contains partial name structure as defined by the US Realm Person Name (PN.US.FIELDDED) Data type.

### How to fix:

```
<name>
  <family>Doe</family>
  <given>John</given>
</name>
```

Fix the error by providing the missing given element to provide a minimally required structure of name as defined by the US Realm Person Name (PN.US.FIELDDED)Data type.



# CONF # 1140-27571 & 1098-5300\_C01 recordTarget-Birthtime

## Two CONF# related to birthtime

CONF #	Validation Performed	Meaning
2228-27571	This patient SHALL contain exactly one [1..1] birthtime	Only one birthtime is allowed in a patient file
1198-5300_C01	SHALL be precise to day	The format of the birthtime must include the day

Note: For cases where information about newborn's time of birth needs to be captured birthtime may be precise to the minute.

Example: 19430801

Not allowed: 1943081

Example from  
QRDA Category I  
Report



```
<birthTime value="19460102"/>
```

# CONF: 1198-6394

## Administrative Gender Code

**ERROR:** This patient SHALL contain exactly one [1..1] administrativeGenderCode, which shall be selected from Value Set Administrative Gender (HL7 V3) urn:2.16.840.1.113883.1.11.1 dynamic.

### **NOTE:**

This schematron rule about the administrative gender from the base IG was removed from the schematron file, because CMS IG overwrites this and requires the Office of the National Coordinator (ONC) Administrative Sex value set urn:oid: 2.16.840.1.113762.1.4.1.

# CONF: 2228-27745

## Medication Order (V3) Requires Author (1 of 2)

**ERROR:** SHALL contain exactly one [1..1]  
author.

### **Meaning:**

Author is a required element in Medication Order (V3).  
Author represents the clinician ordering the medication  
from a pharmacy for a patient.

# CONF: 2228-27745

## Medication Order (V3) Requires Author (2 of 2)

```
<!-- QDM Datatype: Medication, Order -->
<entry>
  <substanceAdministration classCode="SBADM" moodCode="RQO">
    <!-- Conforms to C-CDA R2 Planned Medication Activity (V2) -->
    <templateId root="2.16.840.1.113883.10.20.22.4.42" extension="2014-06-09" />
    <!-- Medication Order (V3) Template -->
    <templateId root="2.16.840.1.113883.10.20.24.3.47" extension="2016-02-01" />
    <id root="9a5f4d94-ccad-4d57-80ea-27737545c7bb" />
    .....
    <author>
      <!-- C-CDA R2 Author Participation -->
      <templateId root="2.16.840.1.113883.10.20.22.4.119" />
      <time value="201704081130"/>
      <assignedAuthor>
        <id root="2.16.840.1.113883.4.6" extension="1234567893" />
      </assignedAuthor>
    </author>
  </substanceAdministration>
</entry>
```

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# CONF: 1198-14838

## serviceEvent effectiveTime/low

(1 of 2)

**ERROR:** This effectiveTime SHALL contain exactly one [1..1] low.

### Meaning:

This error message is regarding the **ClinicalDocument/documentationOf/serviceEvent/effectiveTime/low** xpath. For serviceEvent/effectiveTime, low is a required data element.

# CONF: 1198-14838

## serviceEvent effectiveTime/low

(2 of 2)

### Error:

```
<serviceEvent>
  <effectiveTime value="20170201"/>
```

This xml snippet is missing the required effectiveTime/low

### How to fix:

```
<serviceEvent>
  <effectiveTime>
    <low value="20170201"/>
    <high value="20170328"/>
  </effectiveTime>
```

Fix the error by providing the required effectiveTime/low

# CONF: CMS\_0006

## Data Validation: Missing or Multiple CMS EHR ID (1 of 2)

**ERROR:** This id SHALL contain exactly one [1..1]  
@root="2.16.840.1.113883.3.2074.1" CMS EHR Certification  
Identification Number (CONF:CMS\_0006).

The Certified Health IT Product List (CHPL) is the authoritative and comprehensive listing of Health IT certified through the ONC Health IT Certification Program. A CMS EHR Certification Identification Number is a number generated by the CHPL and used for reporting to CMS.

The CMS EHR Certification ID is formerly known as the Office of the National Coordinator (ONC) Certification Number.

A CMS EHR Certification ID is typically a 15 digit string made up of alphanumeric characters and is used as the value of an “extension” attribute on an <id> element with a “root” attribute of “2.16.840.1.113883.3.2074.1”

This <id> element is contained in the <participant>/<associatedEntity> element of the root QRDA document element and is a required element of this associated entity. One and only one <id> with root=“2.16.840.1.113883.3.2074.1” is allowed.

# CONF: CMS\_0006

## Data Validation: Missing or Multiple CMS EHR Certification ID (2 of 2)

### Proper root ClinicalDocument with CMS EHR Certification ID

```
<ClinicalDocument xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
  xsi:schemaLocation="urn:h17-org:v3 ../Schema/CDA/infrastructure/cda/CDA_SDTC.xsd"
  xmlns="urn:h17-org:v3"
  xmlns:voc="urn:h17-org:v3/voc" xmlns:sdtc="urn:h17-org:sdtc">
  <!-- QRDA Category I Report - CMS (V3) -->
  <templateId root="2.16.840.1.113883.10.20.24.1.3" extension="2017-07-01" />
  <languageCode code="en" />
  <effectiveTime value="201712311230" />
  <recordTarget> ... </recordTarget>
  <custodian> ... </custodian>
  <informationRecipient> ... </informationRecipient>
  <participant typeCode="DEV">
    <associatedEntity classCode="RGPR">
      <!-- CMS EHR Certification Number -->
      <id root="2.16.840.1.113883.3.2074.1" extension="1234A01EFYZ3EAB"/>
    </associatedEntity>
  </participant>
  <documentationOf> ...</documentationOf>
  <component>
    <structuredBody>
      ...
    </structuredBody>
  </component>
</ClinicalDocument>
```

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# CONF: CMS\_0008

## Data Validation: Improper Extension for CMS EHR Certification ID (1 of 2)

**ERROR:** This id SHALL contain exactly one [1..1] @extension (CONF:CMS\_0008).

The Certified Health IT Product List (CHPL) is the authoritative and comprehensive listing of Health IT certified through the ONC Health IT Certification Program. A CMS EHR Certification Identification Number is a number generated by the CHPL and used for reporting to CMS.

The CMS EHR Certification ID is formerly known as the Office of the National Coordinator (ONC) Certification Number.

A CMS EHR Certification ID is typically a 15 digit string made up of alphanumeric characters and is used as the value of an “**extension**” attribute on an <id> element with a “root” attribute of “2.16.840.1.113883.3.2074.1”

This <id> element is contained in the <participant>/<associatedEntity> element of the root QRDA document element and is a required element of this associated entity. One and only one <id> with root=“2.16.840.1.113883.3.2074.1” is allowed.

See also CONF: CMS\_0006

# CONF: CMS\_0008

## Data Validation: Improper Extension for CMS EHR Certification ID (2 of 2)

### Proper root ClinicalDocument with CMS EHR Certification ID

```
<ClinicalDocument xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
  xsi:schemaLocation="urn:h17-org:v3 ../Schema/CDA/infrastructure/cda/CDA_SDTC.xsd"
  xmlns="urn:h17-org:v3"
  xmlns:voc="urn:h17-org:v3/voc" xmlns:sdtc="urn:h17-org:sdtc">
  <!-- QRDA Category I Report - CMS (V3) -->
  <templateId root="2.16.840.1.113883.10.20.24.1.3" extension="2017-07-01" />
  <languageCode code="en" />
  <effectiveTime value="201712311230" />
  <recordTarget> ... </recordTarget>
  <custodian> ... </custodian>
  <informationRecipient> ... </informationRecipient>
  <participant typeCode="DEV">
    <associatedEntity classCode="RGPR">
      <!-- CMS EHR Certification Number -->
      <id root="2.16.840.1.113883.3.2074.1" extension="1234A01EFYZ3EAB"/>
    </associatedEntity>
  </participant>
  <documentationOf> ...</documentationOf>
  <component>
    <structuredBody>
      ...
    </structuredBody>
  </component>
</ClinicalDocument>
```

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# CONF: CMS\_0026

## Improper Extension for intendedRecipient ID (1 of 2)

**ERROR:** This id SHALL contain exactly one [1..1] @extension, which SHALL be selected from ValueSet QRDA-I CMS Program Name urn:oid:2.16.840.1.113883.3.249.14.103 STATIC 2016-07-01 (CONF:CMS\_0026).

Every QRDA document must have an information recipient. That element must have an intended recipient with one <id> element that specifies the CMS program for QRDA-I report submissions.

The intended recipient ID element must have an “extension” attribute. The value of that attribute must be one of the values found in the QRDA-I CMS Program Name value set (urn:oid:2.16.840.1.113883.3.249.14.103)

See [ecqi.healthit.gov](http://ecqi.healthit.gov) [QRDA-I CMS Program Name value set](#)

# CONF: CMS\_0026

## Improper Extension for intendedRecipient ID (2 of 2)

### Proper Information Recipient Example

```
<ClinicalDocument xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
  xsi:schemaLocation="urn:h17-org:v3 ../Schema/CDA/infrastructure/cda/CDA_SDTC.xsd"
  xmlns="urn:h17-org:v3"
  xmlns:voc="urn:h17-org:v3/voc" xmlns:sdtc="urn:h17-org:sdtc">
  <!-- QRDA Category I Report - CMS (V3) -->
  ...
  <informationRecipient>
    <intendedRecipient>
      <id root="2.16.840.1.113883.3.249.7" extension="HQR_EHR" />
    </intendedRecipient>
  </informationRecipient>
  ...
</ClinicalDocument>
```

### Allowed QRDA-I CMS Program Name Values

HQR_EHR	Hospital Quality Reporting for the EHR Incentive Program
HQR_IQR	Hospital Quality Reporting for the Inpatient Quality Reporting Program
HQR_EHR_IQR	Hospital Quality Reporting for the EHR Incentive Program and the IQR Program
CDAC_HQR_EHR	CDAC_HQR_EHR

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# CONF: CMS\_0061

## Encounter Performed Discharge Date Error (1 of 2)

**ERROR:** The system SHALL reject QRDA-I files if the Encounter Performed Discharge Date (effectiveTime/high value) is after the upload date (discharge date is in the future) (CONF: CMS\_0061).

Every Encounter Performed entry:

```
<templateId root="2.16.840.1.113883.10.20.24.3.23" extension="2016-02-01"/>
```

must have a single effectiveTime element. The <high> element of effectiveTime represents **discharge** date and time. The <high> element must have a single “value” attribute, the value of which represents the actual discharge date and time.

The QRDA-I file will not be accepted if the discharge date (the <high>’s “value” attribute’s value) occurs after the date that the QRDA file is uploaded for submission.

# CONF: CMS\_0061

## Encounter Performed Discharge Date Error (2 of 2)

### Improper example:

QRDA-I file is uploaded for submission on 2017/10/03.

Discharge date of Encounter Performed contained in the QRDA-I file is after the file upload date.

```
<encounter classCode="ENC" moodCode="EVN">
  <templateId root="2.16.840.1.113883.10.20.22.4.49" extension="2015-08-01"/>
  <!-- Encounter Performed (V3) templateId-->
  <templateId root="2.16.840.1.113883.10.20.24.3.23" extension="2016-02-01"/>
  ...
  <effectiveTime>
    <!-- QDM Attribute: admission datetime -->
    <low value="20170921090000"/>
    <!-- QDM Attribute: discharge datetime -->
    <high value="20171012103000"/>
  </effectiveTime>
</encounter>
```

### How to fix:

The encounter performed discharge date cannot be after the QRDA-I file upload date.

# CONF: CMS\_0067

## Submitter Not Authorized to Submit (1 of 2)

**ERROR:** Submitter (%s) is not authorized to submit for this provider ( %s) (CONF:CMS\_0067).

During the data validation process, lookup performed and found that the Submitter (vendor) has not been authorized to submit data on behalf of the hospital. The hospital's CCN provided in the QRDA file is used in database lookup.

# CONF: CMS\_0067

## Submitter Not Authorized to Submit (2 of 2)

Proper custodian element with CCN for QRDA Clinical Document

```
<clinicalDocument ...>
...
<author>...</author>
<custodian>
  <assignedCustodian>
    <representedCustodianOrganization>
      <!-- CMS Certification Number (CCN) -->
      <id root="2.16.840.1.113883.4.336" extension="800890"/>
      <name>Good Health Hospital</name>
      <telecom use="WP" value="tel:(555)555-1003"/>
      <addr use="WP">
        <streetAddressLine>21 North Ave</streetAddressLine>
        <city>Burlington</city>
        <state>MA</state>
        <postalCode>02368</postalCode>
        <country>US</country>
      </addr>
    </representedCustodianOrganization>
  </assignedCustodian>
</custodian>
<informationRecipient>...</informationRecipient>
...
</clinicalDocument>
```

The value 800890 used in the example above is an acceptable dummy CCN number. However, only vendors can use the dummy CCN (see CMS\_0068), and the dummy CCN can ONLY be used for test data submissions (see CMS\_0069).

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# CONF: CMS\_0071

## Data Validation: Not Well-Formed QRDA XML (1 of 2)

**ERROR:** Data submitted is not a well formed QRDA XML (CONF:CMS\_0071).

All QRDA XML files must contain only properly formatted XML.

The occurrence of this error means that the document violates the syntax rule in the XML specification, e.g., missing start/end tag or prime elements missing or not properly nested or not properly written.

Additionally, a QRDA document must conform to a specific XML schema (CDA\_SDTC.xsd). See CMS\_0072.

# CONF: CMS\_0071

## Data Validation: Not Well-Formed QRDA XML (2 of 2)

### Some common XML syntax errors:

- **Missing root element.** All XML documents must have a single root element.  
In the case of QRDA documents, that root element is the <clinicalDocument></clinicalDocument> element.
- **XML is case-sensitive** – Following is rejected because <tel> and </Tel> do not match case:  
<tel>513-744-7098</Tel>
- **Missing End Tags** – Following is rejected because <street> has no end tag, and <postalCode> has a malformed closing tag:

```
<address>  
  <street>34 Fountain Square Plaza  
  <region>OH</region>  
  <postal-code>45202<postal-code>  
</address>
```

- **Spaces in element names** - Following is rejected because of spaces in the addressbook element name:  
<address book>...</address book>
- **Attribute values missing quotes** - Following is rejected because value for attribute preferred is not in quotes:  
<tel preferred=true>555-112-3344</tel>

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# CONF: CMS\_0115

## Data Validation: NPI Should Have 10 Digits (1 of 2)

**ERROR:** The NPI should have 10 digits. (CONF: CMS\_0115)

The NPI, National Provider Identifier is a unique ID number issued to health care providers (in the U.S.) by CMS.

The NPI is a 10-digit number where the 10<sup>th</sup> digit is a checksum digit. There is no embedded intelligence (i.e. no information about the provider) in the NPI. NPI numbers are validated using the Luhn Algorithm.

The NPI is used as the value of an 'extension' attribute in an <id> element, when the 'root' attribute has value of '2.16.840.1.113883.4.6'. In cases where no NPI is provided or available, an attribute of nullFlavor='NA' may be used.

NPI <id> elements are present in elements including assignedEntity, assignedAuthor, and participantRole.

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# CONF: CMS\_0115

## Data Validation: NPI Should Have 10 Digits (2 of 2)

### Example using <id> with NPI provided

```
<observation classCode="OBS" moodCode="EVN">
  ...
  <participant typeCode="IND">
    <participantRole >
      <!-- NPI -->
      <id root="2.16.840.1.113883.4.6" extension="1234567893" />
      ...
    </participantRole>
  </participant>
</observation>
```

### Example using <id> with no NPI provided

```
<documentationof>
  ...
  <performer typeCode="PRF">
    ...
    <assignedEntity>
      <!-- This is the provider NPI -->
      <!-- For HQR, NPI may not be applicable. Hospitals may submit nullFlavor here. -->
      <id root="2.16.840.1.113883.4.6" nullFlavor="NA" />
      ...
    </assignedEntity>
  </performer>
  ...
</documentationOf>
```

# CONF: CMS\_0117

## Data Validation: NPI Should Have Correct Checksum (1 of 2)

**ERROR:** The NPI should have a correct checksum, using the Luhn algorithm. (CONF: CMS\_0117)

The NPI, National Provider Identifier is a unique ID number issued to health care providers (in the U.S.) by CMS

The NPI is a 10-digit number where the 10<sup>th</sup> digit is a checksum digit. There is no embedded intelligence (i.e. no information about the provider) in the NPI. NPI numbers are validated using the Luhn Algorithm.

See [https://en.wikipedia.org/wiki/Luhn\\_algorithm](https://en.wikipedia.org/wiki/Luhn_algorithm)

# CONF: CMS\_0117

## Data Validation: NPI Should Have Correct Checksum (2 of 2)

### Example using <id> with proper NPI provided

```
<observation classCode="OBS" moodCode="EVN">
  ...
  <participant typeCode="IND">
    <participantRole>
      <!-- NPI -->
      <id root="2.16.840.1.113883.4.6" extension="1234567893" />
      <code code="207QA0505X" displayName="Adult Medicine" codeSystem="2.16.840.1.113883.6.101"
        codeSystemName="Healthcare Provider Taxonomy" />
    </participantRole>
  </participant>
</observation>
```

# CONF: CMS\_0121

## Inconsistent Use of UTC Offset (1 of 2)

**ERROR:** A Coordinated Universal Time (UTC time) offset should not be used anywhere in a QRDA Category I file or, if a UTC time offset is needed anywhere, then it must be specified everywhere a time field is provided (CONF: CMS\_0121).

There are several time elements in a QRDA document. Those that are specific beyond day and include the time value may also include a UTC offset to make it clear what time zone the action took place in. If you use the UTC offset anywhere in a QRDA document you should use it everywhere there is a time element other than birthTime as this may not be specific beyond day.

You are not required to use a UTC offset anywhere but if you do you must use it everywhere for time elements such as <time> or <effectiveTime>.

# CONF: CMS\_0121

## Inconsistent Use of UTC Offset (2 of 2)

### Example of time elements with UTC Offset:

```
<effectiveTime value="20170111061231-0500"/>
```

```
<time value="20170111061231-0500"/>
```

```
<effectiveTime>  
  <low value="20171101123000+0600"/>  
  <high value="20171106090300+0600"/>  
</effectiveTime>
```

```
<time>  
  <low value="20171101123000-0600"/>  
  <high value="20171106090300-0600"/>  
</time>
```

### Example of time elements without UTC Offset:

```
<effectiveTime value="20170111061231"/>
```

```
<time value="20170111061231"/>
```

```
<effectiveTime>  
  <low value="20171101123000"/>  
  <high value="20171106090300"/>  
</effectiveTime>
```

```
<time>  
  <low value="20171101123000"/>  
  <high value="20171106090300"/>  
</time>
```



# CONF: 67-13372

## Missing or Multiple participantRole Elements (1 of 2)

**ERROR:** SHALL contain exactly one [1..1] participantRole (CONF:67-13372).

A Facility Location subentry must contain exactly one participantRole. The class code attribute for the participantRole must be “SDLOC”, and there must be one exactly one <code> element within the participantRole.

The participantRole should contain at least one <addr> and one <telecom> element, and may contain a playingEntity with a class code of “PLC”.

# CONF: 67-13372

## Missing or Multiple participantRole Elements (2 of 2)

### Proper example for Facility Location subentry:

```
<participant typeCode="LOC">
  <!-- Facility Location template -->
  <templateId root="2.16.840.1.113883.10.20.24.3.100"/>
  <time>
    <!-- Attribute: facility location arrival datetime -->
    <low value="20170203"/>
    <!-- Attribute: facility location departure datetime -->
    <high value="20170206"/>
  </time>
  <participantRole classCode="SDLOC">
    <code code="309905000"
      codeSystem="2.16.840.1.113883.6.96"
      codeSystemName="SNOMED CT"
      displayName="Adult Intensive Care Unit"
      sdtc:valueSet="2.16.840.1.113883.3.666.5.2486"/>
    </code>
    <telecom use="WP" value="tel:(555)555-1234" />
    <addr>...</addr>
    <playingEntity classCode="PLC">...<playingEntity>
  </participantRole>
</participant>
```

# CONF: 1098-31880

## Encounter Order Status Code (1 of 2)

**ERROR:** This statusCode SHALL contain exactly one [1..1] @code="active" Active (CodeSystem: ActStatus urn:oid:2.16.840.1.113883.5.14) (CONF:1098-31880).

An Encounter Order (V3) entry must have exactly one <statusCode> element. That element must have a code attribute of “active” .

Possible values in general for the code attribute are found in the following CodeSystem:

ActStatus urn:oid:2.16.840.1.113883.5.14

However, only a value of “active” is allowed in this instance.

# CONF: 1098-31880

## Encounter Order Status Code (2 of 2)

### Improper example :

```
<encounter classCode="ENC" moodCode="RQO">
  <!-- Planned Encounter (V2) template -->
  <templateId root="2.16.840.1.113883.10.20.22.4.40" extension="2014-06-09" />
  <!-- Encounter Order (V3) template -->
  <templateId root="2.16.840.1.113883.10.20.24.3.22" extension="2016-02-01" />
  <id root="9a6d1bac-17d3-4195-89a4-1121bc809b4d" />
  <code code="185349003" displayName="encounter for check-up (procedure)" ... </code>
  <statusCode code="completed" />
  ...
</encounter>
```

### Proper example :

```
<encounter classCode="ENC" moodCode="RQO">
  <!-- Planned Encounter (V2) template -->
  <templateId root="2.16.840.1.113883.10.20.22.4.40" extension="2014-06-09" />
  <!-- Encounter Order (V3) template -->
  <templateId root="2.16.840.1.113883.10.20.24.3.22" extension="2016-02-01" />
  <id root="9a6d1bac-17d3-4195-89a4-1121bc809b4d" />
  <code code="185349003" displayName="encounter for check-up (procedure)" ... </code>
  <statusCode code="active" />
  ...
</encounter>
```

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# CONF: 1198-5524

## Missing Custodian Organization Name (1 of 2)

**ERROR:** This representedCustodianOrganization SHALL contain exactly one [1..1] name (CONF:1198-5524).

In the US Realm Header (V3) template you must define the custodian of the QRDA Document you are submitting. The custodian element represents the organization that is in charge of maintaining and is entrusted with the care of the document. There are several elements that are required to define the custodian one of which is the name of the organization.

# CONF: 1198-5524

## Missing Custodian Organization Name (2 of 2)

### Proper example for Custodian Organization Name:

```
<ClinicalDocument>
  <realmCode code="US"/>
  <typeId extension="POCD_HD000040" root="2.16.840.1.113883.1.3"/>
  <!-- US Realm Header (V3) template -->
  <templateId root="2.16.840.1.113883.10.20.22.1.1" extension="2015-08-01"/>
  ...
  <custodian>
    <assignedCustodian>
      <representedCustodianOrganization>
        <id root="2.16.840.1.113883.4.336" extension="800890"/>
        <name>Good Health Hospital</name>
        <telecom use="WP" value="tel:+1(555)555-1009"/>
        <addr use="WP">
          <streetAddressLine>1009 Healthcare Drive</streetAddressLine>
          <city>Portland</city>
          <state>OR</state>
          <postalCode>99123</postalCode>
          <country>US</country>
        </addr>
      </representedCustodianOrganization>
    </assignedCustodian>
  </custodian>
  ...
</ClinicalDocument>
```

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# CONF: 2228-14430\_C01 & CONF: 2228-14431 Missing Patient Characteristic Payer(1 of 2)

## ERROR:

SHALL contain at least one [1..\*] entry  
(CONF:2228-14430\_C01) such that it

SHALL contain exactly one [1..1] Patient Characteristic  
Payer (identifier: urn:oid:2.16.840.1.113883.10.20.24.3.55)  
(CONF:2228-14431).

In the Patient Data Section QDM (V3) – CMS template there must be an entry with a Patient Characteristic Payer. This indicates who the payer for this patient was for the measure(s) being reported and is a required supplemental data element for all measures.

# CONF: 2228-14430\_C01 & CONF: 2228-14431

## Missing Patient Characteristic Payer (2 of 2)

### Proper example for Patient Characteristic Payer:

```
<section>
  <!-- Patient Data Section QDM (V3) CMS-->
  <templateId root="2.16.840.1.113883.10.20.17.2.4" />
  <templateId root="2.16.840.1.113883.10.20.24.2.1" extension="2016-02-01" />
  <templateId root="2.16.840.1.113883.10.20.24.2.1.1" extension="2016-03-01" />
  ...
  <entry typeCode="DRIV">
    <observation classCode="OBS" moodCode="EVN">
      <templateId root="2.16.840.1.113883.10.20.24.3.55" extension="2014-12-01" />
      <id root="4ddf1cc3-e325-472e-ad76-b2c66a5ee164" />
      <code code="48768-6" codeSystem="2.16.840.1.113883.6.1" codeSystemName="LOINC"
        displayName="Payment source" />
      <statusCode code="completed" />
      <effectiveTime>
        <low value="20170101" />
        <high value="20170903" />
      </effectiveTime>
      <value xsi:type="CD" code="1" codeSystem="2.16.840.1.113883.3.221.5"
        codeSystemName="Source of Payment Typology" displayName="Medicare"
        sdtc:valueSet="2.16.840.1.114222.4.11.3591" />
    </observation>
  </entry>
  ...
</section>
```

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# CONF: 2228-27343

## Intervention Order Author Participation (1 of 2)

**ERROR:** SHALL contain exactly one [1..1] Author Participation (identifier: urn:oid:2.16.840.1.113883.10.20.22.4.119) (CONF:2228-27343).

An Intervention Order (V3) act entry is a request by a physician or appropriately licensed care provider to an appropriate provider or facility to perform a service and/or other type of action necessary for care.

An example of this is an order for smoking cessation counseling or physical therapy.

The act's moodCode attribute is constrained to "RQO", therefore an author is required to represent the ordering clinician. An author time/date stamp is also required. This time element maps to a QDM time attribute and would be used in measure calculations.

# CONF: 2228-27343

## Intervention Order Author Participation (2 of 2)

### Proper example :

```
<act classCode="ACT" moodCode="RQO">
  <!-- Conforms to C-CDA R2.1 Planned Act (V2) template -->
  <templateId root="2.16.840.1.113883.10.20.22.4.39" extension="2014-06-09" />
  <!-- Intervention Order (V3) template -->
  <templateId root="2.16.840.1.113883.10.20.24.3.31" extension="2016-02-01" />
  <id root="db734647-fc99-424c-a864-7e3cda82e703" />
  <!-- Intervention -->
  <code code="133918004" codeSystem="2.16.840.1.113883.6.96"
    codeSystemName="SNOMED CD" displayName="Comfort measures (regime/therapy)"
    sdtc:valueSet="1.3.6.1.4.1.33895.1.3.0.45" />
  <statusCode code="active"/>
  <author>
    <!-- C-CDA R2.1 Author Participation -->
    <templateId root="2.16.840.1.113883.10.20.22.4.119" />
    <time value="201704081130" />
    <assignedAuthor>
      <id root="2.16.840.1.113883.4.6" extension="11111111" />
    </assignedAuthor>
  </author>
  ...
</act>
```

# CONF: 2228-28472

## Encounter Order Act Missing ID (1 of 2)

**ERROR:** SHALL contain at least one [1..\*] id (CONF:2228-28472).

This id represents a unique identifier for the Encounter Order Act instance. The id should be unique within a document.

The <id> element contains two attributes: a root attribute (the value of which is a GUID or an OID that is globally unique) and an optional extension attribute (the value of which can be any string of characters). If the extension attribute is present, the combination of root + extension attributes must be globally unique.

Multiple <id> elements are allowed, but at least one must be present.

# CONF: 2228-28472

## Encounter Order Act Missing ID (2 of 2)

Proper example showing at least one <id> present:

```
<act classCode="ACT" moodCode="RQO">
  <templateId root="2.16.840.1.113883.10.20.24.3.132"/>
  <id root="ec8a6ff8-ed4b-4f7e-82c3-e98e58b45de7"/>
  <code code="ENC" codeSystem="2.16.840.1.113883.5.6" displayName="Encounter"
    codeSystemName="ActClass"/>
  <entryRelationship typeCode="SUBJ">
    <encounter classCode="ENC" moodCode="RQO">
      <!-- Conforms to C-CDA R2.1 Planned Encounter (V2) template -->
      <templateId root="2.16.840.1.113883.10.20.22.4.40" extension="2014-06-09"/>
      <!-- Encounter Order (V3) template -->
      <templateId root="2.16.840.1.113883.10.20.24.3.22" extension="2016-02-01"/>
      ...
    </encounter>
  </entryRelationship>
</act>
```

# CONF: 2228-28480

## Encounter Performed Act Missing ID (1 of 2)

**ERROR:** SHALL contain at least one [1..\*] id (CONF:2228-28480).

This id represents a unique identifier for the Encounter Performed Act instance. The id should be unique within a document.

The <id> element contains two attributes: a root attribute (the value of which is a GUID or an OID that is globally unique) and an optional extension attribute (the value of which can be any string of characters). If the extension attribute is present, the combination of root + extension attributes must be globally unique.

Multiple <id> elements are allowed, but at least one must be present.

# CONF: 2228-28480

## Encounter Performed Act Missing ID (2 of 2)

Proper example showing at least one <id> present:

```
<act classCode="ACT" moodCode="EVN">
  <templateId root="2.16.840.1.113883.10.20.24.3.133"/>
  <id root="ec8a6ff8-ed4b-4f7e-82c3-e98e58b45de7"/>
  <code code="ENC" codeSystem="2.16.840.1.113883.5.6" displayName="Encounter"
    codeSystemName="ActClass"/>
  <entryRelationship typeCode="SUBJ">
    <encounter classCode="ENC" moodCode="EVN">
      <!-- Conforms to C-CDA R2.1 Encounter Activity (V3) template -->
      <templateId root="2.16.840.1.113883.10.20.22.4.49" extension="2015-08-01"/>
      <!-- Encounter Performed (V3) template -->
      <templateId root="2.16.840.1.113883.10.20.24.3.23" extension="2016-02-01"/>
      ...
    </encounter>
  </entryRelationship>
</act>
```

# Resources

## QualityNet Help Desk – PSVA and Data Upload

[Qnetsupport@hcqis.org](mailto:Qnetsupport@hcqis.org)

(866) 288-8912, 7 a.m. – 7 p.m. CT, Monday – Friday

## eCQM General Program Questions – IQR Program

<https://cms-ip.custhelp.com>

(866) 800-8765 or (844) 472-4477, 8 a.m. – 8 p.m. ET Monday – Friday (except federal holidays)

## EHR (Meaningful Use) Information Center – EHR Incentive Program

(888) 734-6433, 7:30 a.m. – 6:30 p.m., CT Monday – Friday

## The JIRA – Office of the National Coordinator (ONC) Project Tracking

<https://oncprojecttracking.healthit.gov/>

Resource to submit questions and comments regarding:

- Issues identified with eCQM logic
- Clarification on specifications
- The 2017 CMS QRDA IG

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