

#### QRDA Category I Conformance Statement Resource – CY 2020 eCQM Reporting

Updated October 2020

#### Release Notes

- November 2016 Initial posting of this resource for the CY 2016 reporting period
- February 2017 Updated posting of this resource
- November 2017 Updated this resource for use during the CY 2017 reporting period
- October 2018 Updated this resource for use during the CY 2018 reporting period
- November 2019 Updated this resource for use during the CY 2019 reporting period
- October 2020 Updated this resource for use during the CY 2020 reporting period

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#### QRDA Category I Conformance Statement Resource Overview

As of Calendar Year 2020, Quality Reporting Document Architecture (QRDA) Category I files are submitted by Eligible Hospitals (EHs) and Critical Access Hospitals (CAHs) to fulfill a portion of the mandatory electronic Clinical Quality Measure (eCQM) reporting requirements for the Centers for Medicare & Medicaid Services (CMS) Hospital Inpatient Quality Reporting (IQR) and/or Promoting Interoperability Program.

When test or production files are submitted for processing to the CMS data receiving system within the *QualityNet Secure Portal*, errors are identified with a conformance statement, or system requirement. These statements are referred to with a CONF number. CONF numbers are generated to tell the data submitter why the file was rejected and unable to be processed.

There are two types of conformance statements with different formats for the CONF number: the CMS CONF numbers and Health Level Seven (HL7) Clinical Document Architecture (CDA) QRDA Category I CONF numbers. The Conformance Statement Resource was developed to assist data submitters to resolve file upload errors by providing additional explanations and reference material to support successful submission.

# Two Types of QRDA Category I Conformance Statements

#### **CMS CONF Number**

- Format: CMS\_xxxx
   Example: CMS\_0010
- Format: xxxx\_yyyy\_C01 Example: 1198-5300\_C01
- Reference material: Visit the eCQI Resource Center CMS QRDA IG publication page, which includes the 2020 IG.

#### **HL7 CDA CONF Number**

 HL7 CDA QRDA errors format: CONF:xxxx yyyy

Example: CONF:4388-26943

 Reference material: Visit the HL7 website to obtain the HL7 CDA® R2 IG: QRDA I STU Release 5.1 with errata.

**NOTE:** The HL7 website may require a HL7 account in order to download the Implementation Guide (IG).

# How to Use this QRDA Category I Conformance Statement Resource

The next page provides a table of commonly occurring CONF statements associated with the submission of QRDA Category I files for CY 2020 eCQM reporting. To obtain details on specific conformance or error messages:

- 1. Select the CONF number (highlighted as a blue link on the next page) to view the error description, the meaning of the error message, and details on how to resolve the error.
- 2. Choose **Select a new CONF number** at the bottom of the page to return to the CONF number table.
- 3. Selecting **End** at the bottom right-hand corner of the page navigates to a list of resources.

# Select a CONF Number From the List Below

#### **CMS Conformance Numbers**

```
CONF: CMS 0006 – Data Validation: Missing or Multiple CMS EHR IDs CONF: CMS 0008 – Data Validation: Absence of CMS EHR ID CONF: CMS 0009 – Patient Identification Number Requirement CONF: CMS 0026 – Improper Extension for intendedRecipient ID CONF: CMS 0035 – CCN length

CONF: CMS 0060 – Encounter Performed Discharge Date Null CONF: CMS 0061 – Encounter Performed Discharge Date Error CONF: CMS 0062 – Encounter Performed Admission Date

CONF: CMS 0063 – Encounter Performed Discharge Date
```

CONF: CMS 0066 - CCN cannot be validated

CONF: CMS 0067 - Submitter Not Authorized to Submit

CONF: CMS 0068 - Dummy CCN

CONF: CMS 0071 - Data Validation: Not Well-Formed QRDA XML

CONF: CMS 0072 – QRDA Document Format Error CONF: CMS 0073 – QRDA Document Format Error

CONF: CMS 0074 - Version Specific Measure Identifier

CONF: CMS 0075 – Admission Date [Effective Time / low value]

CONF: CMS 0076 – Discharge Date [Effective Time / high value]

CONF: CMS 0079 – Reporting Period Effective Date Range

CONF: CMS 0082 - Certification ID Year/Version Requirement

CONF: CMS 0083 - CMS EHR Certification ID Format Error

CONF: CMS\_0110 - Datatype PQ Requirement

CONF: CMS 0115 - Data Validation: NPI Should Have 10 Digits

CONF: CMS 0117 - Data Validation: NPI Should Have Correct Checksum

CONF: CMS 0121 – Inconsistent Use of UTC Offset CONF: 1198-5300 C01 – Birth Time Precise to the Day

CONF: 4388-14430 C01 – Missing Patient Characteristic Payer

#### **HL7 CDA Conformance Numbers**

CONF: 67-12978 – Measure Section QDM Entry

CONF: 67-13193 – eMeasure Reference QDM

CONF: 81-7291 - Patient Contact Information Street Address Line

CONF: 81-7292 - Patient Contact Information City

CONF: 81-9371 - Conformant Patient Name

CONF: 81-9372 - Cannot Contain Name Parts

CONF: 1198-5271 - Patient Contact Information US Realm Address

CONF: 1198-5280 – Patient Contact Information Telecom

CONF: 1198-5524 - Missing Custodian Organization Name

CONF: 1198-5298 – Birth Time Precise to the Day

<u>CONF: 3343-13372</u> – Missing or Multiple participantRole Elements

<u>CONF: 3343-27343</u> – Intervention Order Requires Author CONF: 4388-14431 – Missing Patient Characteristic Payer

CONF: 4388-27745 - Medication Order Requires Author

Data Validation: Missing or Multiple CMS EHR Certification ID (1 of 2)

**ERROR:** This id SHALL contain exactly one [1..1] @root="2.16.840.1.113883.3.2074.1" CMS EHR Certification Identification Number (CONF:CMS\_0006).

The Certified Health IT Product List (CHPL) is the authoritative and comprehensive listing of Health IT certified through the ONC Health IT Certification Program. A CMS EHR Certification ID is a number generated by the CHPL and used for reporting to CMS.

A CMS EHR Certification ID is a string made up of 15 alphanumeric characters and is used as the value of an "extension" attribute on an <id> element with a "root" attribute of "2.16.840.1.113883.3.2074.1"

This <id> element is contained in the <participant>/<associatedEntity> element of the root QRDA document element and is a required element of this associated entity. One and only one <id> with root="2.16.840.1.113883.3.2074.1" is allowed.

Data Validation: Missing or Multiple CMS EHR Certification ID (2 of 2)

#### Proper root ClinicalDocument with CMS EHR Certification ID

```
<ClinicalDocument xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre>
       xsi:schemaLocation="urn:hl7-org:v3 ../Schema/CDA/infrastructure/cda/CDA SDTC.xsd"
       xmlns="urn:hl7-org:v3" xmlns:voc="urn:hl7-org:v3/voc" xmlns:sdtc="urn:hl7-org:sdtc">
    <templateId root="2.16.840.1.113883.10.20.22.1.1" extension="2015-08-01"/>
    <templateId root="2.16.840.1.113883.10.20.24.1.1" extension="2017-08-01"/>
   <templateId root="2.16.840.1.113883.10.20.24.1.2" extension="2018-10-01"/>
    <templateId root="2.16.840.1.113883.10.20.24.1.3" extension="2019-02-01"/>
    . . .
   <informationRecipient> ... </informationRecipient>
   <participant typeCode="DEV">
       <associatedEntity classCode="RGPR">
            <!-- CMS EHR Certification ID -->
            <id root="2.16.840.1.113883.3.2074.1" extension="0015EBF5BD6GWE0"/>
       </associatedEntity>
   </participant>
   <documentationOf> ... </documentationOf>
   <component>
       <structuredBody> ... </structuredBody>
   </component>
</ClinicalDocument>
```

Data Validation: Absence of CMS EHR Certification ID (1 of 2)

**ERROR:** This id SHALL contain exactly one [1..1] @extension (CONF:CMS\_0008).

The Certified Health IT Product List (CHPL) is the authoritative and comprehensive listing of Health IT certified through the ONC Health IT Certification Program. A CMS EHR Certification Identification Number is a number generated by the CHPL and used for reporting to CMS.

A CMS EHR Certification ID is a string made up of 15 alphanumeric characters and is used as the value of an "**extension**" attribute on an <id> element with a "root" attribute of "2.16.840.1.113883.3.2074.1"

This <id> element is contained in the <participant>/<associatedEntity> element of the root QRDA document element and is a required element of this associated entity. One and only one <id> with root="2.16.840.1.113883.3.2074.1" is allowed.

#### Data Validation: Absence of CMS EHR Certification ID (2 of 2)

#### Proper root ClinicalDocument with CMS EHR Certification ID

```
<ClinicalDocument xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre>
        xsi:schemaLocation="urn:hl7-org:v3 ../Schema/CDA/infrastructure/cda/CDA SDTC.xsd"
        xmlns="urn:hl7-org:v3" xmlns:voc="urn:hl7-org:v3/voc" xmlns:sdtc="urn:hl7-org:sdtc">
    <templateId root="2.16.840.1.113883.10.20.22.1.1" extension="2015-08-01"/>
    <templateId root="2.16.840.1.113883.10.20.24.1.1" extension="2017-08-01"/>
    <templateId root="2.16.840.1.113883.10.20.24.1.2" extension="2018-10-01"/>
    <templateId root="2.16.840.1.113883.10.20.24.1.3" extension="2019-02-01"/>
    <informationRecipient> ... </informationRecipient>
    <participant typeCode="DEV">
        <associatedEntity classCode="RGPR">
            <!-- CMS EHR Certification ID -->
            <id root="2.16.840.1.113883.3.2074.1" extension=" 0015EBF5BD6GWE0"/>
        </associatedEntity>
    </participant>
    <documentationOf> ... </documentationOf>
    <component>
        <structuredBody> ... </structuredBody>
    </component>
</ClinicalDocument>
```

### CONF: CMS\_0009, CMS\_0053 & CMS\_0103

Patient Identification Number Requirement (1 of 2)

**ERROR:** This patientRole SHALL contain exactly one [1..1] id (CONF:CMS\_0009) such that it SHALL contain exactly one [1..1] @root (CONF:CMS\_0053). SHALL contain exactly one [1..1] @extension (CONF:CMS\_0103).

**Meaning:** Patient Identification Number is required in a QRDA I file for HQR submissions.

## CONF: CMS\_0009, CMS\_0053 & CMS\_0103

Patient Identification Number Requirement (2 of 2)

#### How to Fix:

A Patient Identification Number is an id contained in the <recordTarget>/<patientRole> element other than the id elements for HICN and MBI.

The value of "root" attribute of the id element for Patient Identification Number may vary for different hospitals and can not be the same OIDs for HICN (2.16.840.1.113883.4.572) and MBI (2.16.840.1.113883.4.927)

The value of "extension" attribute of this <id>element contains the Patient Identification Number.

#### Improper Extension for intendedRecipient ID (1 of 2)

ERROR: This id SHALL contain exactly one [1..1] @extension, which SHALL be selected from ValueSet QRDA I CMS Program Name urn:oid:2.16.840.1.113883.3.249.14.103 STATIC 2019-02-01 (CONF:CMS\_0026).

Every QRDA document must have an <informationRecipient>, which must have an <intendedRecipient> with one <id>. The <id> element must have an "extension" attribute. The value of that attribute must be one of the values found in the QRDA I CMS Program Name value set (urn:oid: 2.16.840.1.113883.3.249.14.103)

#### **Proper Information Recipient Example**

#### Improper Extension for intendedRecipient ID (2 of 2)

#### Allowed QRDA I CMS Program Name Values for CY2020

Program Name Value	Print Name
HQR_PI	Hospital Quality Reporting for the Promoting Interoperability Program
HQR_IQR	Hospital Quality Reporting for the Inpatient Quality Reporting Program
HQR_PI_IQR	Hospital Quality Reporting for the Promoting Interoperability Program and the Inpatient Quality Reporting Program
HQR_IQR_VOL	Hospital Quality Reporting for Inpatient Quality Reporting Program voluntary submissions

# CONF: CMS\_0035 & CONF: CMS\_0066 CMS Certification Number (CCN)

CONF#	Validation Performed	Meaning	Solution
CMS_0035	CCN <b>SHALL</b> be six to ten characters in length	CCNs submitted that have five or fewer characters or 11 or more characters will not pass validation.	Review CCN included in QRDA file to ensure accuracy.
CMS_0066	CCN (NULL) cannot be validated	CCN value does not appear in HQR lookup of valid CCNs. CCN is Null, resulting in this message.	Review QRDA File to ensure:  • CCN is included and is accurate  • Verify where the @root="2.16.840.1.113883.4. 336" is present, that the CCN extension is also present

# CONF: CMS\_0060 Encounter Performed Discharge Date Null (1 of 2)

**ERROR:** The system SHALL reject QRDA I files if the Encounter Performed Discharge Date is null.

Example: Null discharge date

```
<effectiveTime>
    <!-- Attribute: Admission Datetime-->
    <low value="20200329090000"/>
    <!-- Attribute: Discharge Datetime with an empty value -->
    <high value=""/>
</effectiveTime>
```

# CONF: CMS\_0060 Encounter Performed Discharge Date Null (2 of 2)

#### Example of correct discharge datetime:

```
<!-- "Encounter Performed: Inpatient Encounter" using Encounter Inpatient SNOMEDCT Value Set
(2.16.840.1.113883.3.666.5.307) -->
<entry typeCode="DRIV">
  <act classCode="ACT" moodCode="EVN">
    <!-- Encounter Performed Act (V2) -->
   <templateId root="2.16.840.1.113883.10.20.24.3.133" extension="2017-08-01"/>
    <id root="72b0c35b-18dd-4058-887f-c945be0439b3"/>
   <code code="ENC" displayName="Encounter" codeSystem="2.16.840.1.113883.5.6" codeSystemName="ActClass"/>
   <entryRelationship typeCode="SUBJ">
      <encounter classCode="ENC" moodCode="EVN">
       <!-- Conforms to C-CDA R2.1 Encounter Activity (V3) -->
       <templateId root="2.16.840.1.113883.10.20.22.4.49" extension="2015-08-01"/>
       <!-- Encounter Performed (V4) ->
       <templateId root="2.16.840.1.113883.10.20.24.3.23" extension="2017-08-01"/>
        <id root="dccf424e-18dd-4058-887f-a8151eaaa55"/>
       <code code="32485007" displayName="Hospital admission (procedure)"</pre>
            codeSystem="2.16.840.1.113883.6.96" codeSystemName="SNOMEDCT"/>
        <text>Encounter, Performed: Inpatient Encounter</text>
       <statusCode code="completed" />
        <effectiveTime>
           <!-- ODM Attribute: Admission Datetime -->
           <low value="20200129090000"/>
           <!-- QDM Attribute: Discharge Datetime -->
           <high value="20200131100000"/>
        </effectiveTime>
      </encounter>
   </entryRelationship>
 </act>
</entry>
```

#### **Encounter Performed Discharge Date Error (1 of 2)**

**ERROR:** The system SHALL reject QRDA I files if the Encounter Performed Discharge Date (effectiveTime/high value) is after the upload date (discharge date is in the future) (CONF: CMS\_0061).

**Every Encounter Performed entry:** 

```
<templateId root="2.16.840.1.113883.10.20.24.3.23" extension="2017-08-01"/>
```

must have a single effectiveTime element. The <high> element of effectiveTime represents **discharge** date and time. The <high> element must have a single "value" attribute, the value of which represents the actual discharge date and time.

The QRDA I file will not be accepted if the discharge date (the value of effectiveTime/high/@value attribute) occurs after the date that the QRDA file is uploaded for submission.

#### **Encounter Performed Discharge Date Error (2 of 2)**

#### Improper example:

QRDA I file is uploaded for submission on October 3<sup>rd</sup>, 2020.

Discharge date of Encounter Performed contained in the QRDA I file is after the file upload date.

```
<act classCode="ACT" moodCode="EVN">
    <!-- Encounter Performed Act (V2) -->
    <templateId root="2.16.840.1.113883.10.20.24.3.133" extension="2017-08-01"/>
    <id root="72b0c35b-18dd-4058-887f-c945be0439b3"/>
    <code code="ENC" displayName="Encounter" codeSystem="2.16.840.1.113883.5.6" codeSystemName="ActClass" />
    <entryRelationship typeCode="SUBJ">
      <encounter classCode="ENC" moodCode="EVN">
        <templateId root="2.16.840.1.113883.10.20.22.4.49" extension="2015-08-01"/>
        <!-- Encounter Performed (V4) templateId-->
        <templateId root="2.16.840.1.113883.10.20.24.3.23" extension="2017-08-01"/>
        <effectiveTime>
          <!-- ODM Attribute: admission datetime -->
          <low value="20200921090000"/>
          <!-- QDM Attribute: discharge datetime -->
          <high value="20201012103000"/>
       </effectiveTime>
    </encounter>
```

#### How to fix:

The encounter performed discharge date cannot be after the QRDA I file upload date.

Select a new CONF number

**Back** 

**End** 

#### CONF: CMS\_0062 Encounter Performed Admission Date (1 of 2)

**ERROR:** The system SHALL reject QRDA I files if the Encounter Performed Admission Date (effectiveTime/low value) is after the Encounter Performed Discharge Date (effectiveTime/high value).

#### **Meaning:**

Possible conditions resulting in this error are:

- Encounter Performed Admission Date (effectiveTime/low value) is after the Encounter Performed Discharge Date (effectiveTime/high value)
- Admission Date or Discharge Date values are null or have an invalid format

# CONF: CMS\_0062 Encounter Performed Admission Date (2 of 2)

#### **Example of invalid admission date after the discharge date:**

```
<low value="20200131090000"/> <!-- January 31 -->
<high value="20200129103000"/> <!-- January 29 -->
```

#### **Example of valid admission and discharge dates:**

```
<act classCode="ACT" moodCode="EVN">
 <!-- Encounter Performed Act (V2) -->
 <templateId root="2.16.840.1.113883.10.20.24.3.133" extension="2017-08-01"/>
 <id root="90b0c39e-18dd-4058-887f-c945be0490b7"/>
 <code code="ENC" displayName="Encounter" codeSystem="2.16.840.1.113883.5.6" codeSystemName="ActClass"/>
 <entryRelationship typeCode="SUBJ">
    <encounter classCode="ENC" moodCode="EVN">
      <templateId root="2.16.840.1.113883.10.20.22.4.49" extension="2015-08-01" />
     <!-- Encounter Performed (V4) -->
      <templateId root="2.16.840.1.113883.10.20.24.3.23" extension="2017-08-01" />
        <id root="9b84977d-e6f6-4f50-9897-a6e1c90ba201"/>
        <code code="32485007" displayName="Hospital admission (procedure)" codeSystem="2.16.840.1.113883.6.96"</pre>
              codeSystemName="SNOMEDCT"/>
        <text>Encounter, Performed: Inpatient Encounter</text>
        <statusCode code="completed" />
        <effectiveTime>
            <!-- QDM Attribute: admission datetime -->
            <low value="20200129103000"/>
            <!-- QDM Attribute: discharge datetime -->
            <high value="20200131109000"/>
        </effectiveTime>
    </encounter>
```

# CONF: CMS\_0063 Encounter Performed Discharge Dates (1 of 2)

**ERROR:** There are no Encounter Performed Discharge Dates within the reporting period found in the QRDA.

#### CONF: CMS\_0063 Encounter Performed Discharge Dates (2 of 2)

#### Meaning:

There must be at least one encounter in the QRDA file that has its discharge date within the reporting period.

If there are other encounters reported that are outside the reporting period, the file will not be rejected as long as there is at least one encounter with the discharge date within the reporting period, as specified in the Reporting Parameters Section of the QRDA.

The relevant elements for reporting period are in the rectangle above, effectiveTime/low and effectiveTime/high.

This example is for CY2020Q2 of the reporting period, as shown in the table below.

CY 2020 Discharge Reporting Period				
Quarter	Discharge Start	Discharge End		
CY2020Q1	1/1/2020	3/31/2020		
CY2020Q2	4/1/2020	6/30/2020		
CY2020Q3	7/1/2020	9/30/2020		
CY2020Q4	10/1/2020	12/31/2020		

## CONF: CMS\_0067 Submitter Not Authorized to Submit (1 of 2)

**ERROR:** Submitter (%s) is not authorized to submit for this provider (%s) (CONF:CMS\_0067).

During the data validation process, validation found that the Submitter (vendor) has not been authorized to submit data on behalf of the hospital. The hospital's CCN provided in the QRDA file is used in database lookup.

#### **Submitter Not Authorized to Submit (2 of 2)**

Proper custodian element with CCN for QRDA Clinical Document

```
<ClinicalDocument>
    <custodian>
        <assignedCustodian>
           <representedCustodianOrganization>
               <!-- CMS Certification Number (CCN) -->
               <id root="2.16.840.1.113883.4.336" extension="800890"/>
                <name>Good Health Hospital
                <telecom use="WP" value="tel:(555)555-1003"/>
                <addr use="WP">
                    <streetAddressLine>21 North Ave</streetAddressLine>
                   <city>Burlington</city>
                    <state>MA</state>
                   <postalCode>02368</postalCode>
                   <country>US</country>
                </addr>
           </representedCustodianOrganization>
       </assignedCustodian>
   </custodian>
</ClinicalDocument>
```

The value 800890 used in the example above is an acceptable dummy CCN number. However, only vendors can use the dummy CCN (see CMS\_0068), and the dummy CCN can ONLY be used for test data submissions (see CMS\_0069).

# CONF: CMS\_0068 Dummy CCN

**ERROR:** Provider is not allowed to use a dummy CCN number (800890) for submissions.

#### Meaning:

The dummy CMS Certification Number (CCN) (shown below) can be used only by vendors and only for test data submissions.

```
<id root="2.16.840.1.113883.4.336" extension="800890"/>
```

Data Validation: Not Well-Formed QRDA XML (1 of 2)

**ERROR:** Data submitted is not a well formed QRDA XML (CONF:CMS\_0071).

All QRDA XML files must contain only properly formatted XML.

The occurrence of this error means that the document violates the syntax rule in the XML specification, e.g., missing start/end tag or prime elements missing or not properly nested or not properly written.

Additionally, a QRDA document must conform to a specific XML schema (CDA\_SDTC.xsd). See CMS\_0072.

#### Data Validation: Not Well-Formed QRDA XML (2 of 2)

#### Some common XML syntax errors:

Missing root element. All XML documents must have a single root element.
 In the case of QRDA documents, that root element is the <clinicalDocument></clinicalDocument> element.

**XML** is case-sensitive – Following is rejected because <postalCode> and </postalcode> do not match case:

```
<postalCode>45202</postalcode>
```

Missing End Tags – Following is rejected because <streetAddressLine> has no end tag, and
 <postalCode> has a malformed closing tag:

```
<addr>
    <streetAddressLine>34 Fountain Square Plaza
    <state>0H</state>
    <postal-code>45202<postal-code>
</addr>
```

 Spaces in element names - Following is rejected because of spaces in the streetAddressLine element name:

```
<street address line>...</street address line>
```

• Attribute values missing quotes - Following is rejected because value for attribute use is not in quotes:

```
<telecom use="WP" value="555-112-3344"/>
```

# CONF: CMS\_0072 QRDA Document Format Error

**ERROR:** The document does not conform to QRDA document formats accepted by CMS.

#### Meaning:

QRDA structure of the submitted file does not conform to the QRDA XML Schema (CDA\_SDTC.XSD) provided by HL7. The file does not pass the schema check. Validation continues on the file to identify any other errors.

Note: The CDA\_SDTC.XSD XML schema is available in the HL7 QRDA Category I standard zip file HL7 CDA® R2 IG: QRDA I STU Release 5.1 with errata.

# CONF: CMS\_0073 QRDA Document Format Error

**ERROR:** The document does not conform to QRDA document formats accepted by CMS.

#### Meaning:

The QRDA must have **all four** required header template IDs and extensions by the 2020 CMS QRDA I IG and its base standard QRDA Category I, Standard for Trial Use (STU), Release 5.1 with errata being sent to CMS:

This error is also produced for an empty file or any non-XML file type (e.g., PDF). Processing stops immediately on these files.

### CONF: CMS\_0074 Version Specific Measure Identifier (1 of 3)

**ERROR:** The Version Specific Measure Identifier is not valid for the current program year.

**Meaning:** Each measure in the QRDA must reference the version specific identifier. Only the 2020 Reporting Period Eligible Hospital / Critical Access Hospitals eCQMs will be accepted for the CY 2020 reporting year.

## CONF: CMS\_0074 Version Specific Measure Identifier (2 of 3)

To locate the Version Specific Measure Identifier in the eCQM XML file:

- Use the QualityMeasureDocument/id/@root XPath for eCQM Version Specific Measure Identifier
- Submit ONLY the Version Specific Measure Identifier
- Remember that the Version Specific Measure Identifier is not case sensitive

## CONF: CMS\_0074 Version Specific Measure Identifier (3 of 3)

#### **Example Health Quality Measures Format (HQMF) XML snippet from CMS108v8:**

```
<!-- Measure Details Section -->
<typeId extension="POQM HD000001UV02" root="2.16.840.1.113883.1.3"/>
<templateId>
    <item extension="2018-05-01" root="2.16.840.1.113883.10.20.28.1.2"/>
</templateId>
<!- Version specific measure identifier -->
<id root="40280382-6963-bf5e-0169-7333b8990827"/>
<code code="57024-2" codeSystem="2.16.840.1.113883.6.1">
    <displayName value="Health Quality Measure Document"/>
</code>
<title value="Venous Thromboembolism Prophylaxis"/>
<text value="This measure assesses the number of patients who received VTE
prophylaxis or have documentation why no VTE prophylaxis was given the day of or
the day after hospital admission or surgery end date for surgeries that start the
day of or the day after hospital admission"/>
<statusCode code="COMPLETED"/>
<setId root="38b0b5ec-0f63-466f-8fe3-2cd20ddd1622"/>
<!-- Measure version that corresponds to file name -->
<versionNumber value="8.2.000"/>
```

## CONF: CMS\_0075 & CONF: CMS\_0076 Admission/Discharge Dates [effectiveTime] (1 of 2)

CONF #	Validation Performed	Meaning	Solution
0075	Admission Date is not properly formatted.	Fails validation check for Encounter Performed Admission Date (effectiveTime / low value)	Confirm proper format of admission date.
0076	Discharge Date is not properly formatted.	Fails validation check for Encounter Performed Admission Date (effectiveTime / high value)	Confirm proper format of discharge date.

### CONF: CMS\_0075 & CONF: CMS\_0076 Admission/Discharge Dates [effectiveTime] (2 of 2)

Proper format for dates: YYYYMMDDHHMMSSxUUUU				
YYYY	Year	Range 1900 to 9999		
MM	Month	Range 01 to 12		
DD	Day	Range 01 to 31 (note: true to month and leap years)		
HH	Hour	Range 0 to 23		
SS	Seconds	Range 0 to 59		
X	Plus or minus sign			
UUUU	UTC Time Shift	-1300 thru +1400		

**NOTE:** Use of the UTC Time Shift is optional, however if it is used, it must be present throughout the whole QRDA Category I file for the file to be accepted with the exceptions of reporting period start/end date and birthTime.

Select a new CONF number

**Back** 

**End** 

## CONF: CMS\_0079 Reporting Period Effective Date Range (1 of 3)

**ERROR:** Reporting Period Effective Date Range does not match one of the Program's calendar year Discharge Quarters.

**Meaning:** The Reporting Parameter Section effective date range must exactly match one of the HQR allowable calendar year discharge quarters.

## CONF: CMS\_0079 Reporting Period Effective Date Range (2 of 3)

#### EffectiveTime with allowable low and high values:

## CONF: CMS\_0079 Reporting Period Effective Date Range (3 of 3)

Promoting Interoperability and Hospital IQR Program Submissions via Test and Production QRDA Category I Files				
	CY Discharge Quarters		Production and Test Data Submissions	
Quarter	Discharge Start	Discharge End	Start	End
CY2020Q1	1/1/2020	3/31/2020	Fall 2020	3/01/2021
CY2020Q2	4/1/2020	6/30/2020	Fall 2020	3/01/2021
CY2020Q3	7/1/2020	9/30/2020	Fall 2020	3/01/2021
CY2020Q4	10/1/2020	12/31/2020	Fall 2020	3/01/2021

# CONF: CMS\_0082 CMS EHR Certification ID Year/Version Requirement (1 of 2)

**ERROR:** CMS EHR Certification ID does not meet year/version criteria

**Meaning:** The EHR system needs to be certified to 2015 Edition.

## CMS EHR Certification ID Year/Version Requirement (2 of 2)

#### How to Fix:

The EHR products used must be certified to 2015.

Create CMS EHR Certification ID on the ONC Certified Health IT Product List website (<a href="https://chpl.healthit.gov">https://chpl.healthit.gov</a>) by selecting appropriate 2015 Edition products.

A CMS EHR Certification ID is a string made up of 15 alphanumeric characters and is used as the value of an "extension" attribute on an <id> element with a "root" attribute of "2.16.840.1.113883.3.2074.1"

## CONF: CMS\_0083 CMS EHR Certification ID Format Error

**ERROR:** CMS EHR Certification ID format is not valid

#### **How to Fix:**

Create CMS EHR Certification ID on the ONC Certified Health IT Product List website (<a href="https://chpl.healthit.gov">https://chpl.healthit.gov</a>) by selecting appropriate 2015 Edition products.

Make sure the CMS EHR Certification ID is a string made up of 15 alphanumeric characters and is used as the value of an "extension" attribute on an <id> element with a "root" attribute of "2.16.840.1.113883.3.2074.1"

## CONF: CMS\_0110 Datatype PQ Requirement

**ERROR:** Data types of PQ SHALL have either @value or @nullFlavor but SHALL NOT have both @value and @nullFlavor. If @value is present then @unit SHALL be present but @unit SHALL NOT be present if @value is not present.

**Meaning:** For value element with PQ datatype, only the following two options are considered valid:

1. Both a value and a unit are provided

```
<value xsi:type="PQ" value="90" unit="mmHg"/>
```

2. No value is provided, a nullFlavor with a valid nullFlavor code is provided instead

```
<value xsi:type="PQ" nullFlavor="NI"/>
```

Data Validation: NPI Should Have 10 Digits (1 of 2)

#### **ERROR:** The NPI should have 10 digits.

The NPI, National Provider Identifier is a unique ID number issued to health care providers (in the U.S.) by CMS.

The NPI is a 10-digit number where the 10<sup>th</sup> digit is a checksum digit. There is no embedded intelligence (i.e. no information about the provider) in the NPI. NPI numbers are validated using the Luhn Algorithm.

The NPI is used as the value of an "extension" attribute in an <id>element, when the "root" attribute has value of "2.16.840.1.113883.4.6". In cases where no NPI is provided or available, an attribute of nullFlavor="NA" may be used.

NPI <id> elements are present in elements including assignedEntity, assignedAuthor, and participantRole.

Data Validation: NPI Should Have 10 Digits (2 of 2)

#### **Example using <id> with NPI provided:**

#### Example using <id> with no NPI provided:

CONF number

Data Validation: NPI Should Have Correct Checksum (1 of 2)

**ERROR:** The NPI should have a correct checksum, using the Luhn algorithm.

The NPI, National Provider Identifier is a unique ID number issued to health care providers (in the U.S.) by CMS

The NPI is a 10-digit number where the 10<sup>th</sup> digit is a checksum digit. There is no embedded intelligence (i.e. no information about the provider) in the NPI. NPI numbers are validated using the Luhn Algorithm.

See <a href="https://en.wikipedia.org/wiki/Luhn\_algorithm">https://en.wikipedia.org/wiki/Luhn\_algorithm</a>

#### Data Validation: NPI Should Have Correct Checksum (2 of 2)

#### Example using <id> with proper NPI provided

```
<observation classCode="OBS" moodCode="EVN">
    ...
    <participant typeCode="IND">
        <participantRole>
        <!-- NPI -->
        <id root="2.16.840.1.113883.4.6" extension="1234567893"/>
        ...
    </participantRole>
        </participant>
    </observation>
```

# CONF: CMS\_0121 Inconsistent Use of UTC Offset (1 of 2)

**ERROR:** A Coordinated Universal Time (UTC time) offset should not be used anywhere in a QRDA Category I file or, if a UTC time offset is needed anywhere, then it must be specified everywhere a time field is provided.

There are several time elements in a QRDA document. Those that are specific beyond day and include the time value may also include a UTC offset to make it clear what time zone the action took place in. If you use the UTC offset anywhere in a QRDA document you should use it everywhere there is a time element other than birthTime as this may not be specific beyond day. The other exception is the reporting period start and end date, which must be precise to the day.

You are not required to use a UTC offset anywhere but if you do you must use it everywhere for time elements such as

<time> or <effectiveTime>.

## CONF: CMS\_0121 Inconsistent Use of UTC Offset (2 of 2)

## Example of time elements with UTC offset, highlighted:

## **Example of time elements without UTC offset:**

# CONF: 67-12978 & CONF: 67-13193 eMeasure Reference QDM

The following conformance statements are associated with Measure Section Quality Data Model (QDM).

CONF #	Validation Performed	Meaning	Solution
67-12978	<b>SHALL</b> contain at least one [1*] <b>entry</b>	At least one entry is required	Confirm entry is present in file
67-13193	Such entries SHALL contain exactly one [11] eMeasure Reference QDM (identifier: urn:oid:2.16.840.1.1138 83.10.20.24.3.97)	Such entries (referenced in 67-12978) must contain one eMeasure Reference QDM (which defines how a QDM eCQM should be referenced in QDM-Based QRDA)	Confirm the entry is a template with the templateld 2.16.840.1.11388 3.10.20.24.3.97

### CONF: 81-7291, 81-8272, 1198-5271, 1198-5280 Patient Contact Information

CONF #	Validation Performed	Meaning	Solution
1198- 5271	This patientRole <b>SHALL</b> contain at least one [1*] <b>US Realm Address</b> (identifier: urn:oid:2.16.840.1.113883.10.20.22.5.2)	No 'addr' tag included in file	'addr' must be included in file to pass validation
81-7292	SHALL contain exactly one [11] city	No 'city' tag included in file	'city' must be included in file to pass validation
81-7291	SHALL contain at least one and not more than 4 [14] streetAddressLine	No 'streetAddressLine' tag included in file, or more than 4 included	'streetAddressLine' must be included, and no more than 4 instances, in file to pass validation
1198- 5280	This patientRole <b>SHALL</b> contain at least one [1*] <b>telecom</b>	No 'telecom' tag included in file	'telecom' must be included in file to pass validation

### CONF: 81-9371 Conformant Person Name (1 of 2)

**ERROR:** The content of name SHALL be either a conformant Patient Name (PTN.US.FIELDED) or a string.

#### Meaning:

The name data element must be provided either as a string or conformant to the structure defined by the Patient Name (PTN.US.FIELDED). For data elements that are defined using US Realm Person Name (PN.US.FIELDED) (identifier:

ùrn:oid:2.16.840.1.113883.10.20.22.5.1.1) data type such as:

ClinicalDocument/patient/name

ClinicalDocument/legalAuthenticator/assignedEntity/assigned Person/name

### CONF: 81-9371 Conformant Person Name (2 of 2)

**Error:** This xml snippet contains partial name structure as defined by the US Realm Person Name (PN.US.FIELDED) data type.

```
<name>
     <family>Doe</family>
</name>
```

#### How to fix:

**Option 1 (preferred):** Provide the missing <given> element to meet the minimally required structure of name as defined by the US Realm Person Name (PN.US.FIELDED) data type.

```
<name>
     <family>Doe</family>
     <given>John</given>
</name>
```

**Option 2:** Provide a string instead of a structured name.

```
<name>John Doe</name>
```

## CONF: 81-9372 Cannot Contain Name Parts (1 of 2)

**ERROR:** The string SHALL NOT contain name parts.

#### Meaning:

If a structured name is provided, the name data element must conform to the structure defined by the Patient Name (PTN.US.FIELDED) for data elements that are defined using US Realm Person Name (PN.US.FIELDED) (identifier: urn:oid:2.16.840.1.113883.10.20.22.5.1.1) data type such as

ClinicalDocument/patient/name
ClinicalDocument/legalAuthenticator/assignedEntity/assignedPerson/name

## CONF: 81-9372 Cannot Contain Name Parts (2 of 2)

**Error:** This xml snippet contains partial name structure as defined by the US Realm Person Name (PN.US.FIELDED) data type.

```
<name>
     <family>Doe</family>
</name>
```

**How to fix:** Provide the missing <given> element to meet the minimally required structure of name as defined by the US Realm Person Name (PN.US.FIELDED) data type.

```
<name>
     <family>Doe</family>
     <given>John</given>
</name>
```

### CONF: 1198-5300\_C01 & CONF: 1198-5298 BirthTime Precise to the Day

#### Two CONF# related to birthTime

CONF#	Validation Performed	Meaning
1198-5298	This patient SHALL contain exactly one [11] birthTime	Only one birthTime is allowed in a patient file
1198-5300_C01	SHALL be precise to day	The format of the birthTime must include the day

Note: For cases where information about newborn's time of birth needs to be captured birthTime may be precise to the minute.

Valid birthTime: 19430801 Invalid birthTime: 194308

Valid example from QRDA Category I: <birthTime value="19460102"/>

## CONF: 4388-27745 Medication Order (V5) Requires Author (1 of 2)

**ERROR:** SHALL contain exactly one [1..1] author.

#### Meaning:

Author is a required element in Medication Order (V5). Author/time represents the time the medication order was signed by the ordering clinician.

## CONF: 4388-27745 Medication Order (V5) Requires Author (2 of 2)

```
<!--ODM Datatype: Medication, Order -->
<entry>
    <substanceAdministration classCode="SBADM" moodCode="RQO">
        <!-- Conforms to C-CDA R2.1 Planned Medication Activity (V2) -->
        <templateId root="2.16.840.1.113883.10.20.22.4.42" extension="2014-06-09"/>
        <!-- Medication Order (V5) -->
        <templateId root="2.16.840.1.113883.10.20.24.3.47" extension="2018-10-01"/>
        <id root="9a5f4d94-ccad-4d57-80ea-27737545c7bb"/>
        <author>
            <!-- Author -->
            <templateId root=" 2.16.840.1.113883.10.20.24.3.155" extension="2017-08-</pre>
01"/>
            <time value="202004081130"/>
            <assignedAuthor>
                <id nullFlavor="NA"/>
            </assignedAuthor>
        </author>
    </substanceAdministration>
</entry>
```

### CONF: 3343-13372

Missing or Multiple participantRole Elements (1 of 2)

**ERROR:** SHALL contain exactly one [1..1] participantRole (CONF:3343-13372).

A Facility Location (V2) subentry must contain exactly one participantRole. The class code attribute for the participantRole must be "SDLOC", and there must be one exactly one <code> element within the participantRole.

### CONF: 3343-13372

#### Missing or Multiple participantRole Elements (2 of 2)

#### Proper example for Facility Location (V2) subentry:

### CONF: 1198-5524

#### Missing Custodian Organization Name (1 of 2)

**ERROR:** This representedCustodianOrganization SHALL contain exactly one [1..1] name (CONF:1198-5524).

In the US Realm Header (V3) template you must define the custodian of the QRDA Document you are submitting. The custodian element represents the organization that is in charge of maintaining and is entrusted with the care of the document. There are several elements that are required to define the custodian one of which is the name of the organization.

### CONF: 1198-5524

#### Missing Custodian Organization Name (2 of 2)

#### **Proper example for Custodian Organization Name:**

```
<ClinicalDocument>
   <realmCode code="US"/>
  <typeId extension="POCD HD000040" root="2.16.840.1.113883.1.3"/>
  <templateId root="2.16.840.1.113883.10.20.22.1.1" extension="2015-08-01"/>
  <templateId root="2.16.840.1.113883.10.20.24.1.1" extension="2017-08-01"/>
  <templateId root="2.16.840.1.113883.10.20.24.1.2" extension="2018-10-01"/>
  <templateId root="2.16.840.1.113883.10.20.24.1.3" extension="2019-02-01"/>
   <custodian>
     <assignedCustodian>
        <representedCustodianOrganization>
            <id root="2.16.840.1.113883.4.336" extension="800890"/>
            <name>Good Health Hospital
            <telecom use="WP" value="tel:+1(555)555-1009"/>
            <addr use="WP">
               <streetAddressLine>1009 Healthcare Drive</streetAddressLine>
               <city>Portland</city>
               <state>OR</state>
               <postalCode>99123</postalCode>
               <country>US</country>
            </addr>
        </representedCustodianOrganization>
     </assignedCustodian>
   </custodian>
</ClinicalDocument>
```

## CONF: 4388-14430\_C01 & CONF: 4388-14431 Missing Patient Characteristic Payer(1 of 2)

#### **ERROR:**

SHALL contain at least one [1..\*] entry (CONF:4388-14430\_C01) such that it SHALL contain exactly one [1..1] Patient Characteristic Payer (identifier: urn:oid:2.16.840.1.113883.10.20.24.3.55) (CONF:4388-14431).

The Patient Data Section QDM (V6) – CMS template must contain an entry with a Patient Characteristic Payer. This indicates the payer for the patient for the reported measure(s) and is a required supplemental data element for all measures.

## CONF: 4388-14430\_C01 & CONF: 4388-14431 Missing Patient Characteristic Payer (2 of 2)

#### **Proper example for Patient Characteristic Payer:**

```
<section>
  <!-- Patient Data Section QDM (V6) - CMS-->
  <templateId root="2.16.840.1.113883.10.20.17.2.4"/>
  <templateId root="2.16.840.1.113883.10.20.24.2.1" extension="2018-10-01"/>
  <templateId root="2.16.840.1.113883.10.20.24.2.1.1" extension="2019-02-01"/>
  <entry typeCode="DRIV">
    <observation classCode="OBS" moodCode="EVN">
      <templateId root="2.16.840.1.113883.10.20.24.3.55"/>
       <id root="4ddf1cc3-e325-472e-ad76-elledfcla00d" />
       <code code="48768-6" codeSystem="2.16.840.1.113883.6.1" codeSystemName="LOINC" displayName="Payment</pre>
Source"/>
       <statusCode code="completed"/>
       <effectiveTime>
         <low value="20200101"/>
         <high value="20201231"/>
       </effectiveTime>
       <value xsi:type="CD" code="1" codeSystem="2.16.840.1.113883.3.221.5"</pre>
            codeSystemName="Source of Payment Typology" displayName="Medicare"/>
    </observation>
  </entry>
</section>
```

# **CONF: 3343-27343**Intervention Order Requires Author (1 of 2)

**ERROR:** SHALL contain exactly one [1..1] Author (identifier:

ùrn:oid:2.16.840.1.113883.10.20.24.3.155:2017-08-01)

(CONF:3343-27343).

An Intervention Order (V4) act entry is a request by a physician or appropriately licensed care provider to an appropriate provider or facility to perform a service and/or other type of action necessary for care.

An example of this is an order for smoking cessation counseling or physical therapy.

The act's moodCode attribute is constrained to "RQO", therefore an author is required to represent the ordering clinician. An author/time is also required. This time element maps to the QDM Author dateTime attribute and would be used in measure calculations.

### CONF: 3343-27343

#### Intervention Order Requires Author (2 of 2)

#### Proper example:

```
<act classCode="ACT" moodCode="ROO">
  <!-- Conforms to C-CDA R2.1 Planned Act (V2) template -->
  <templateId root="2.16.840.1.113883.10.20.22.4.39" extension="2014-06-09"/>
  <!-- Intervention Order (V4) template -->
  <templateId root="2.16.840.1.113883.10.20.24.3.31" extension="2017-08-01"/>
  <id root="db734647-fc99-424c-a864-7e3cda82e703" />
  <!-- Intervention -->
  <code code="133918004" codeSystem="2.16.840.1.113883.6.96" codeSystemName="SNOMEDCT"</pre>
      displayName="Comfort measures (regime/therapy)"/>
  <statusCode code="active"/>
  <author>
   <!-- Author -->
   <templateId root="2.16.840.1.113883.10.20.24.3.155" extension="2017-08-01"/>
   <time value="202004081130"/>
      <assignedAuthor>
        <id nullFlavor="NA"/>
     </assignedAuthor>
  </author>
</act>
```

## Acronyms

CAH	Critical Access Hospital CMS Certification Number	PI PY	Promoting Interoperability Payment Year
CDA	Clinical Document Architecture	QDM	Quality Data Model
CONF	Conformance	QRDA	Quality Reporting Document
CY	Calendar Year		Architecture
eCQM	electronic Clinical Quality Measure	UTC	Coordinated Universal Time
EH	Eligible Hospital	XML	Extensible Markup Language
EHR	Electronic Health Record		
HIC/HICN	Health Insurance Claim Number		
HL7	Health Level Seven		
HQR	Hospital Quality Reporting		
IG	Implementation Guide		
IQR	Hospital Inpatient Quality		
	Reporting		
LOINC	Logical Observation Identifiers Names		
	and Codes		
МВІ	Medicare Beneficiary Identification		
	Number		
OID	Object Identifier		

### Resources

QualityNet Help Desk – QualityNet Secure Portal (reports, data upload, troubleshooting file errors), Promoting Interoperability Program (objectives, attestation, policy)

Qnetsupport@hcqis.org

(866) 288-8912, 7 a.m. – 7 p.m. CT, Monday – Friday

Hospital IQR Program and Policy – Hospital Inpatient Support Team

https://cmsqualitysupport.servicenowservices.com/qnet\_qa

(866) 800-8765 or (844) 472-4477, 8 a.m. – 8 p.m. ET Monday – Friday (except federal holidays)

The JIRA – Office of the National Coordinator (ONC) Project Tracking

https://oncprojectracking.healthit.gov/

Resource to submit questions and comments regarding:

- · Issues identified with eCQM logic
- Clarification on specifications
- The 2020 CMS QRDA IG

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